

07/15/03  
16562 U.S. PTO

**REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION**

DOCKET NUMBER	ANTICIPATION CLASSIFICATION OF THIS APPLICATION		PRIOR APPLICATION EXAMINER	ART UNIT
3275.03US02	CLASS	SUBCLASS		

**Address to:**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR 1.53(b) of pending prior international application Number PCT /US02/01702, filed on 17 Jan 2002 entitled OPTICAL MATERIALS WITH SELECTED INDEX-OF-REFRACTION which designated the United States.

Note: 37 CFR 1.53(d) cannot be used to file a continuation or divisional application of an international application which has not entered the national stage.

CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
TOTAL CLAIMS (37 CFR 1.16(c))	52	- 20 =	32	x \$ 18 =	\$ 576.00
INDEPENDENT CLAIMS (37 CFR 1.16(b))	9	- 3 =	6	x \$ 84 =	\$504.00
MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.16(d))				x \$ 280 =	\$0.00
				BASIC FEE (37 CFR 1.16(a))	\$750.00
				Total of above Calculations =	\$1,830.00
				Reduction by 50% for filing small entity (Note 37 CFR 1.27)	\$915.00
				Total =	\$915.00

- Enclosed are the specification, claims and drawing(s).
- ☒ Applicant claims small entity status. See 37 CFR 1.27.
- ☐ The Director is hereby authorized to charge any fees which may be required under 37 CFR 1.16 and 1.17, or credit any overpayment of Deposit Account No. 16-0631. A duplicate copy of this sheet is enclosed.
- ☐ A check in the amount of \$ \_\_\_\_\_ is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☐ Application Data Sheet is enclosed. See 37 CFR 1.76.
- ☐ Amend the specification by inserting before the first line the sentence: "This application is a ☐ continuation ☐ division of international application number PCT \_\_\_\_\_, filed \_\_\_\_\_ (status, abandoned, pending, etc.)."

[Page 1 of 2]

This collection of information is required by 37 CFR 1.53(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**REQUEST FOR FILING A CONTINUATION OR DIVISION OF AN INTERNATIONAL APPLICATION**

8. ☐ A declaration under CFR 1.63 is enclosed.
9. ☐ Priority of foreign application number \_\_\_\_\_, filed on \_\_\_\_\_  
in \_\_\_\_\_ is claimed under 35 U.S.C. 119(a)-(d).
- ☐ The certified copy is enclosed.
10. ☐ A preliminary amendment is enclosed.  
Specification - 58 pages; 11 Sheets of Formal Drawings (Figs. 1-19); Information Disclosure
11. ☒ Also enclosed: Statement & Form PTO 1449; Copies of 6 cited references; Copy of PCT Request Form as originally  
filed 17 January 2002.

Address all future correspondence to: (May only be completed by applicant, or attorney or agent or record).

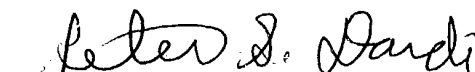
Patterson, Thunte, Skaar &amp; Christensen, P.A.

4800 IDS Center, 80 South 8th Street, Minneapolis, Minnesota 55402-2100

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

15 July 2003

Date



Signature

(612) 349-5746

Telephone Number

Peter S. Dardi, Ph.D.

Typed or printed name

39,650

Registration Number, if applicable

- ☐ Inventor(s)/Applicant(s)
- ☐ Assignee of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).
- ☒ Attorney or agent of record
- ☐ Filed under 37 CFR 1.34(a)  
Registration number if acting under 37 CFR 1.34(a) \_\_\_\_\_

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below\*.

☒ \*Total of 1 forms are submitted.



24113

PATENT TRADEMARK OFFICE

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Attorney Docket No. 3275.03US02

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Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

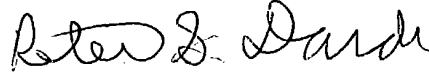
Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the patent application of  
INVENTOR(S): Michael Bryan and Nobuyuki Kambe  
FOR: OPTICAL MATERIALS WITH SELECTED INDEX-OF-REFRACTION

Enclosed are:

- ☒ Request for Filing a Continuation of an International Application Transmittal - 2 pages.
  - ☒ Specification and Abstract - 58 pages.
  - ☒ Formal Drawings - 11 sheets (Figs. 1-19).
  - ☐ Combined Declaration and Power of Attorney.
  - ☒ Information Disclosure Statement & Form PTO-1449 with 6 cited references.
  - ☐ Assignment papers.
  - ☐ CD-ROM or CD-R in duplicate, and Compact Disc Transmittal.
  - ☐ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (nonpublication).
  - ☒ Copy of PCT Request Form as originally filed 17 January 2002.
- ☒ Applicant(s) is/are entitled to small entity status in accordance with 37 CFR 1.27.

- [ X ] This application claims the priority to copending PCT application designating the U.S. serial number PCT/US02/01702 filed January 17, 2002, which claims priority to both U.S. Provisional Application No. 60/262,274 filed January 17, 2001 and U.S. Provisional Application No. 60/262,273 filed January 17, 2001.

Respectfully submitted,

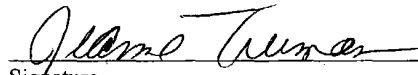


Peter S. Dardi, Ph.D.  
Registration No. 39,650

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV 321545797 US. Date of Deposit: July 15, 2003. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jeanne Truman  
Name of Person Making Deposit

  
Signature